Glottal Attributes Extracted from Speech with Application to Emotion Driven Smart Systems

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Abstract: Any novel smart system development depends on human-computer interaction and is also dependent either directly or indirectly on the emotion of the user. In this paper we propose an idea for the development of a smart system using sentiment extraction from speech with possible application in various areas in our everyday life. Two different speech corpora were used for cross-validation with training and testing on each set. The system is text, content and gender independent. Emotions were extracted from both female and male speakers. The system is robust to external noise and can be implemented in areas such as entertainment, personalization, system automation, service industries, security, surveillance, and many more.

1 INTRODUCTION

Knowledge discovery is an interdisciplinary area focusing on creating methodologies for identifying valid, novel, and potentially useful meaningful patterns from data. The analysis between various data points and the connections among them in attribute space can be used for the creation of many practical models for decision-making systems, that can be used for the implementation in various smart areas. Such systems may be based on text or time-varying speech signals where data can be extracted in many levels. One such level can be the *semantic level*: where we would like to know the meaning of what has been said. Although different, this level of analysis is usually related to the *syntactic level*: where the user is dealing with the grammatical validity of any given utterance. So users are not only trying to understand the parts of speech and how the expression was constructed, but also they need to understand the meaning of the message. In recent years it is becoming more and more popular to also look into the *sentiment or emotion level*: where users are trying to extract multiple emotions from the way the utterance is constructed or the way speech is delivered. This alone opens up another level of smart system development and can be used separately or in combination with Natural Language Processing systems while exploring parts of the speech. Since signal processing of speech signals is more computationally heavy procedure the main interest to this research was speech signals alone. In addition, there are many different emotions that can be extracted, so we focused on few main emotions as explained in more details in section 2 below.

2 LITERATURE REVIEW

Some of the main used areas for Emotion Systems are (Pramod, 2017): Medicine – rehabilitation, e learning, Entertainment, Psychology. Some of the main used classification techniques (Avetisyan, 2016) for Emotion Systems that have been used are: 1) Support Vector Machines (Binali, 2010) – this is a binary classification technique that uses training examples and creates a model, which classifies input data in predefined categories; 2) Naïve Bayes Classifier (McCallum, 1998) - here, the frequencies of occurrences of specific emotions are presented as vectors; 3) Hidden Markov Model (Schuller, 2003) – where classes are distributed over sequences of observations.

According to the Robert Plutchik's theory (Plutchik, 1980) there are eight basic emotions:

- Fear - emotion comes with an unpleasant situation caused from pain;
- Anger - involves a strong feeling of aggravation, uncomfortable situation stress, displeasure, or hostility;
- Sadness - a feeling caused with disadvantage or loss due to anything;
- Joy - feeling happy. Other commonly used words instead of joy are happiness, gladness;
- Disgust - a feeling with strong disapproval, nasty, dislike;
- Surprise - occurred with an unexpected event or shock;
- Trust - belief that someone or something is reliable a positive emotion; admiration is stronger;
- Anticipation - in the sense of looking forward positively to something which is going to happen.

According to (Pramod, 2017) the most common emotions searched and extracted are happiness', sadness, and disgust, along with joy, boredom, fear and surprise. In addition, Neutral is also considered an emotion and it treated as an emotional domain in speech (Iliev, 2012).

Some emotion speech corpus in English are:

- KISMET (Breazeal, 2002), 1002 utterances, 3 female speakers, 5 emotions;
- Baby Ears (Slaney, 2003), 509 utterances, 12 actors (6 males + 6 females), 3 emotions;
- SUSAS (Zhang., 2014), 16,000 utterances, 32 actors (13 females + 19 males);
- MPEG-4 (Anagnostopoulos, 2012), 2440 utterances, 35 speakers.

The most used acoustic features are (Anagnostopoulos, 2012):

1. Maximum & Minimum counter ascent energy.
2. Mean and Median values of energy.
3. Mean and Median of energy decline in values.
4. Maximum of pitch frequency.
5. Mean and Median of pitch frequency.
6. Maximum duration of pitch in terms of frequency.
7. Mean and Median of first format.
8. Rate of change in formats.
9. Speed in voice frames.

For emotion recognition, we extract features like pitch, intonation, duration by means of MFCC, LPCC, PLPCS, RASTA. Then classifiers include Gaussian-Mixture Model (GMM), Hidden-Markov Model (HMM), Artificial-Neural Network (ANN), Support-vector machine (SVM) and the advanced features like General Regression NN (GRNN), Deep Neural Network (DNN) (Anagnostopoulos. 2012).

3 SYSTEM METHODOLOGY

In this study, our focus is on processing speech signals and extracting features that can later be organized in rules for emotion extraction from signals. The production of speech can be generalized as consisting of three linear time-varying modules, where at the input we supply an impulse train and at the output we produce the speech signal as shown in Figure 1.
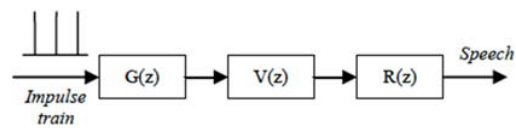

Figure 1: Generalized speech production model (Iliev, 2012).

In speech expression, the excitation of the system is mainly produced in the glottis and has quasi-periodic characteristics. The impulse series of air pressure directed at the glottis are sequential in time and are formed as a result of the oscillation of the vocal cords during the production process (Iliev, 2012). The glottal wave defines the basic frequency of speech. The speech spectrum measured from the speech, S (Z), can be expressed in the complex frequency domain as:

$$S(z) = G(z)V(z)R(z) \qquad (1)$$

where, $G(z)$ represents the glottal model and is of interest to us, $V(z)$ is the transfer function of the vocal tract, and $R(z)$ is the added effect from the radiation at the lips.

To reproduce the spectrum of the glottal wave from speech, the vocal tract system as well as the one of the oral cavity must be present. For R (Z) we have a simple first-degree filter. The coefficients of the V (Z) filter can be easily obtained by linear prediction (LP) analysis, autocorrelation or covariance (Rabiner, 1978), where p represents the order of prediction. The solution of the G (Z) model of formula (1) can come from the reverse filtering of the glottal signal, so we can write:

$$G(z) = S(z)/V(z)R(z) \qquad (2)$$

When the model of the vocal tract is accurately represented in the short intervals of the speech signal, the inverse filtering can provide the glottal signal accurately. The components of the vocal reproduction

model are linearly separable and do not interact with one another. In fact, vocal reproduction is influenced by the vocal tract, which results in differences in voice volume and energy. This inevitably alters the structure of the sound waveform and modifies it. In addition, variations in the glottal signal, as observed with the use of a laryngograph, do not always reflect such variations in the glottal airflow (O'Shaughnessy, 2000), (Quatieri, 2002). If only the main characteristics of the voice signal are sought, such as the opening and closing quotients of the voice stream as well as the ratio between the opening and closing phases (glottal symmetry), inverse filtering can not only be an effective method in providing this information, but is the only practical way for calculating the glottal sound waves from speech signals without direct intervention at the glottis. To obtain a close estimate of the glottal signal, it is necessary to use close estimates because in open speech a glottal signal cannot be recorded at the source. The quality of the resulting signal is critical to the accuracy of the evaluation of the glottal part of the speech reproduction system. Once recorded, the speech is filtered to obtain the closest copy of the waveform. The ambient sound also plays a role in the glottal detection through inverse filtering.

There are number of methods that are related to reverse filtering of speech (Brooks, 2006), (Moore, 2003), (Rothenberg, 1973), (Wong, 1979). The main findings in the field are based on two groups that differ in the way the recording was obtained: 1) inside the oral cavity or 2) outside of the cavity, while taking into account the influence of the lips. In a detailed study, Rothenberg used a specially designed mask to record sound in the lips. The analysis is limited to a frequency of 1 kHz. The bandwidths of the first two formants were computed using a narrow band spectrogram and used to filter the vocal tract information so that the glottal signal can be extracted. Although the setup used in this study is rather restrictive, it still offers more details on the shape of the wave. Since this technique uses a flow mask one of the most important contributions is that the resulting glottal wave provides useful information about the amplitude.

Assuming that the recording device is appropriately calibrated then the minimum flow and the amplitude flow (AC-flow) of the signal coming from the glottis can be reliably obtained after inverse filtering is applied. As mentioned in (Rothenberg, 1973), practically inverse filtering is limited to slightly nasal or non-nasal vowels. Resonances of the vocal tract are represented by complex conjugate pairs of poles and are referred to as *formants*. The

effect created by the formats needs to be cancelled (inverse filtered) by introducing a complex zero to each of the vocal tract complex poles as well as a first-order pole or resonance at the zero frequency. The advantages of this method are: 1) imperviousness of low frequency room noise; 2) the received signal achieves zero frequency accuracy; and 3) better calibration of the amplitude level using a constant airflow. The main disadvantage is that it is performed in controlled conditions using specialized methods, so it may not be practical to use in normal 'every day' setup.

4 AUTOCORRELATION AND LINEAR-PREDICTIVE METHOD

One of the most commonly used algorithm for glottal signal extraction is the covariance method. The autocorrelation linear prediction performs really well when used for speech recorded in noisy conditions, which is why it is of interest to us. The waveform is bound within the interval $[0, N-1]$ hence for the true output of our system y we can write:

$$y(n) = y(n+k)\, w(n) \qquad (3)$$

where, $w(n)$ is a fixed size Hamming window and $n \in [0, N-1]$. N represents the number of points in the window. The result of the liner predictor can be expressed as:

$$\phi = \sum_{n=0}^{N-1-j+k} y(n)\, y(n-k+j) \qquad (4)$$

where $j \in [1, p]$ and $k \in [0, p]$. The limits of the window used suggest that the signal is zero outside the sample region N. In short, the autocorrelation formulation constructs a system of linear equations represented in matrix form, which can be solved with a typical Gaussian elimination. In practice, this constitutes better efficiency since the autocorrelation coefficients in the matrix form have a very simple symmetric structure, allowing for a recursive solution, hence decreasing the number of calculations.

To make the speech recognition model more resilient to synchronization between analytic windows and larynx cycles, and to obtain better noise resistance, LPC autocorrelation is used to analyse the speech. By comparing the covariance and the autocorrelation of a linear prediction method, there

are three basic questions to answer: 1) what is the number of multiplications for calculating the correlation matrix and as a consequence to find a solution of the matrix equation; 2) how much is the amount of memory used; and 3) what is the stability of the system. All these values are well summarized in (Iliev, 2012).

For the autocorrelation method, these figures are: N for the number of data and p for the autocorrelation matrix, which is again less than that required in the covariance method. Finally, the autocorrelation method is usually guaranteed to be stable when it is calculated with sufficient accuracy. In retrospect, this means that a sufficiently high degree of prediction should be used. Furthermore, the stability of prediction polynomials usually remains steady when a speech pre-emphasis filter is used. However, in the covariance method the stability of prediction polynomials cannot be guaranteed. Generally, if the number of speech samples in the analysis window is large enough, the two methods will result to a similar solution. Given the characteristics of the two methods of covariance and the autocorrelation of linear prediction, the latter remain the focus of the analysis made on the colloquial speech data used here.

For standardized testing system in speech emotion classification, we did the following:

1. Preparing data sets from existing rules;
2. Tuning hyper-parameters of the recognition system;
3. Obtain attribute set using inverse filtering of speech to extract the glottal signal;
4. Feeding the features in (3) through a classifier (2) for testing 80% and training 20%.

5 DISCUSSION OF CORPORA AND EXPERIMENTAL RESULTS

The results of our measurements are displayed in Figures 2 through 6. Each figure shows one of the four chosen emotions as follows: angry, happy, neutral, and sad. Figure 2 represent all glottal symmetries combined from all four emotions, where a robust version of 'lowest' smoothing was used to represent the curves. The smoothing assigns lower weight to outliers in the regression used to make the new representation and it assigns zero weight to all data staying outside six mean absolute deviations. All signals were extracted from speech using two different corpora with different number of participants and varying contextual connotation. The

parts of speech were irrelevant in this exercise as the primary focus was only on the speech signal alone. Both speech datasets represented the four emotions with numerous observations, from different speaker and different gender. There were short and long sentences, so they were normalized as a general emotion per utterance. The approach aimed to create a system that was context, speaker and gender independent. In the first corpus, the four emotions were labelled in a real-world dialog. More than 2,250 utterances were labelled. Three different people performed the labelling in order to capture the common emotional validity of the utterances. Since some emotions had larger representation count in this speech corpus, and in order to make this a balanced test we equalized the number of observations per emotion based on the least represented emotional state, hence making the observations for each of the four emotional states to be equal to 300. There were four male speakers in this set, so to make it gender independent we used the second set, where there were 5 male and 5 female speakers used for each emotional state. The second corpus was obtained be recording the speakers in an anechoic chamber, while reading a set of pre-specified utterances in the four emotional states. In both cases, we dealt with acted speech. The second set was shorter and was recorded in a controlled environment, which gave us the opportunity to add different noise conditions in the future and expand the testing in real-world environment. More detailed explanation of the corpora is given in (Iliev, 2012).

As can be seen from the Figures 2-6, all four emotional states vary in the feature domain chosen for this exercise. When plotted together we can observe that there is a clear separation between the more active psychological states of happy, angry versus the more calming and sedative ones of neutral, and sad. This means that depending on the practical implementation task we can develop a different system, where rules can be extracted for areas of different application as suggested in the literature (Iliev, 2018), (Iliev, 2017), (Marinova, 2018), (Stanchev, 2017).
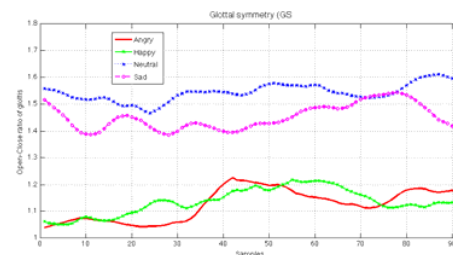
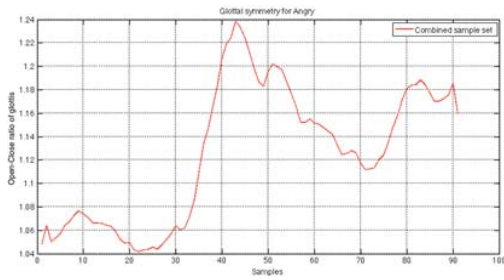Figure 2: Glottal symmetry for four emotional states.

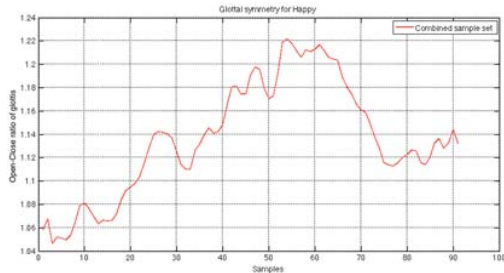Figure 3: Glottal symmetry for Angry emotion, gender independent.

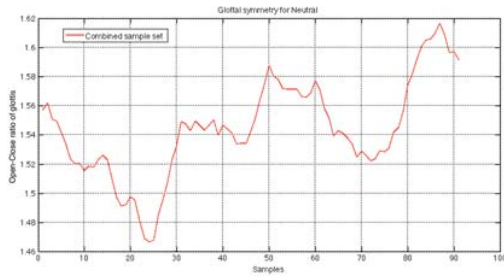Figure 4: Glottal symmetry for Happy emotion, gender independent.

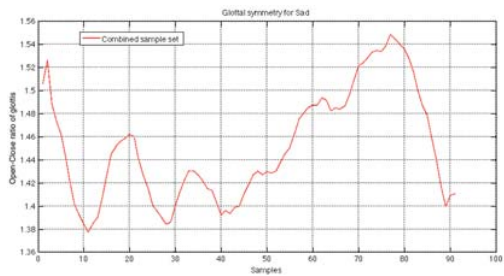Figure 5: Glottal symmetry for Neutral emotion, gender independent.

Figure 6: Glottal symmetry for Sad emotion, gender independent.

6 CONCLUSIONS

After analysing the data collected from the experiments, it can be concluded that the glottal symmetry is robust and it contains emotional content, which makes it an effective tool for performing in various real-life applications. In addition, it was confirmed that the glottal information is resilient under various noisy conditions. The low frequency is in the nature of the glottal signal and enhances its ability to survive in harsh conditions from heterogeneous noise and filtration.

A problem with the system may be to determine the exact moments of the glottal events [opening, closing] in the inverse filtering step, which has been solved by using the appropriate group-delay (Iliev. 2012). This is especially important when the system is tested for durability under noisy conditions.

Finally, these results prove that emotional recognition of speech signals can be successfully applied to any smart system based on cloud computing services, media metadata description services that may need personalization and even recommendation based on speakers' emotions. Furthermore, it can be implemented in a gender-separated manner when applicable. All of this can be applied in a larger digital asset ecosystem setup where data mining and data analytics play key roles and use speech as important factor in extracting emotions.

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