

Local Extrema and Saddle Points (Second Derivative Test)

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restart

with(plots) : with(plottools) :

a := -2

-2

(1)

b := 2

2

(2)

c := -3

-3

(3)

d := 3

3

(4)

The following defines a function f as a function of variables x and y .

$f := (x, y) \rightarrow 4 \cdot x^3 + y^3 - 12 \cdot x - 3 \cdot y$

$(x, y) \rightarrow 4 x^3 + y^3 - 12 x - 3 y$

(5)

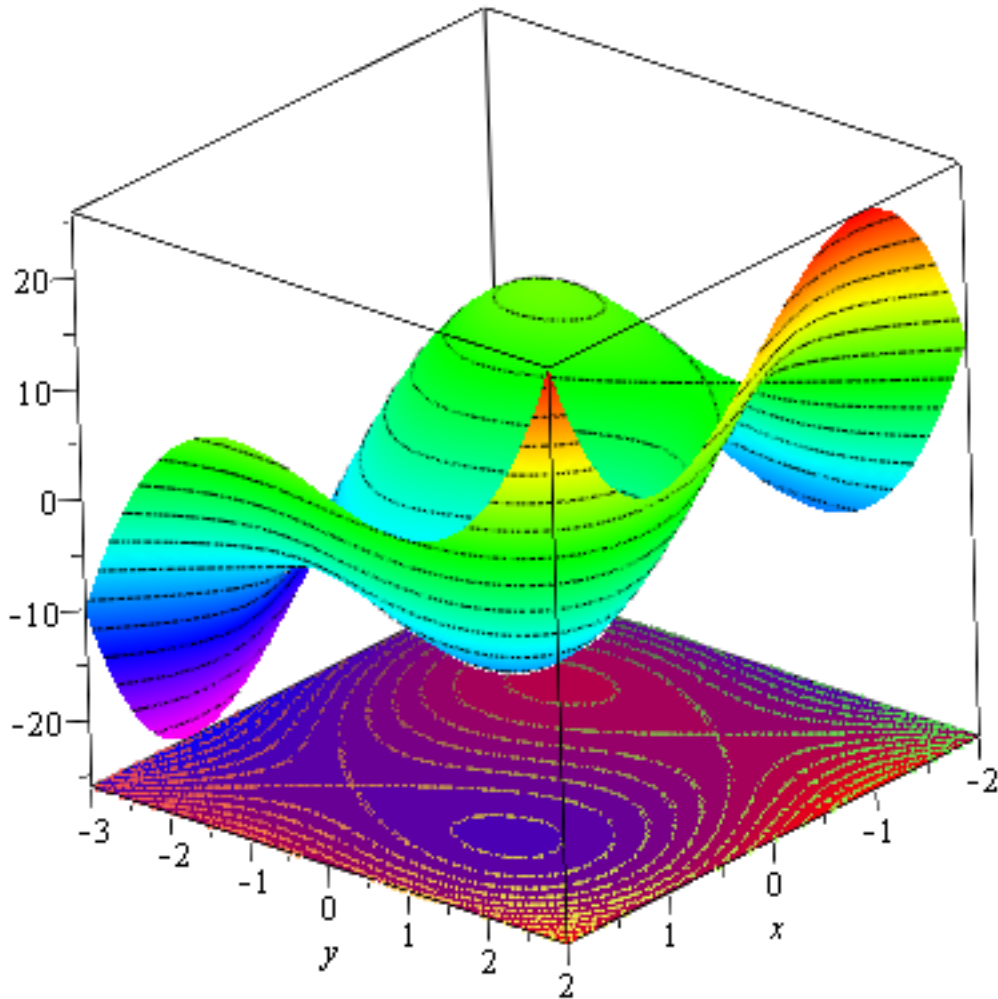
$p := \text{plot3d}(f(x, y), x = a .. b, y = c .. d, \text{style} = \text{patchcontour}, \text{contours} = [(3 \cdot k) \$ k = -8 .. 8], \text{scaling} = \text{unconstrained}, \text{axes} = \text{boxed}, \text{transparency} = 0.0, \text{title} = \text{typeset}(z = f(x, y)), \text{titlefont} = [\text{TIMES}, \text{BOLD}, 22], \text{shading} = \text{zhue}, \text{grid} = [100, 100]) :$

$q := \text{contourplot}(f(x, y), x = a .. b, y = c .. d, \text{filled} = \text{true}, \text{thickness} = 3, \text{contours} = [(3 \cdot k) \$ k = -8 .. 8], \text{coloring} = [\text{blue}, \text{red}], \text{grid} = [100, 100]) :$

$F := \text{transform}((x, y) \rightarrow [x, y, -26]) :$

$\text{display}(\{p, F(q)\}) :$

$$z = 4x^3 + y^3 - 12x - 3y$$



Let's determine the critical points of function f . First determine $\frac{\partial}{\partial x} f$ and $\frac{\partial}{\partial y} f$.

$f_x := D_1(f)$; # This means differentiate f wrt x and store it as function $f_x(x,y)$.

$$(x, y) \rightarrow 12x^2 - 12 \quad (6)$$

$f_{xx} := D_{1,1}(f)$; # This means differentiate f wrt x twice and store it as function $f_{xx}(x,y)$.

$$(x, y) \rightarrow 24x \quad (7)$$

$f_{xy} := D_{1,2}(f)$; # This means differentiate f wrt x and y and store it as function $f_{xy}(x,y)$.

$$0 \quad (8)$$

$f_y := D_2(f)$ # This means differentiate f wrt y and store it as function $f_y(x,y)$.

$$(x, y) \rightarrow 3y^2 - 3 \quad (9)$$

$$f_{yy} := D_{2,2}(f) \quad \# \text{ This means differentiate } f \text{ wrt } y \text{ twice and store it as function } f_{yy}(x,y). \\ (x,y) \rightarrow 6y \quad (10)$$

Let's determine d for the Second Derivative Test:

$$d := f_{xx} \cdot f_{yy} - (f_{xy})^2; \quad d(x,y) \\ f_{xx} \cdot f_{yy} \\ 144xy \quad (11)$$

Let's determine the critical points of function f .

We do so by setting $f_x = 0$ and $f_y = 0$ and solving simultaneously for x and y :

$$\text{solve}(\{f_x(x,y) = 0, f_y(x,y) = 0\}, \{x,y\}) \\ \{x = 1, y = 1\}, \{x = 1, y = -1\}, \{x = -1, y = 1\}, \{x = -1, y = -1\} \quad (12)$$

So the critical points of f are at $(1, 1)$, $(1, -1)$, $(-1, 1)$ and $(-1, -1)$.

Check the sign of d at the CP $(1, 1)$:

$$d(1, 1) \\ 144 \quad (13)$$

Since $d(1, 1) > 0$, we must check the sign of $f_{xx}(1, 1)$:

$$f_{xx}(1, 1) \\ 24 \quad (14)$$

Since $d > 0$ and $f_{xx} > 0$ at $(1, 1)$, function f has a local minimum at point $CP(1, 1)$. That local minimum is:

$$f(1, 1) \\ -10 \quad (15)$$

Check the sign of d at the CP $(1, -1)$:

$$d(1, -1) \\ -144 \quad (16)$$

Since $d < 0$ at point $(1, -1)$, function f has a saddle point at $CP(1, -1)$, and there's nothing else to check.

Check the sign of d at the CP $(-1, 1)$:

$$d(-1, 1) \\ -144 \quad (17)$$

Since $d < 0$ at point $(-1, 1)$, function f has a saddle point at $CP(-1, 1)$, and there's nothing else to check.

Check the sign of d at the CP $(-1, -1)$:

$$d(-1, -1) \\ 144 \quad (18)$$

Since $d(-1, -1) > 0$, we must check the sign of $f_{xx}(-1, -1)$:

$$f_{xx}(-1, -1) \\ -24 \quad (19)$$

Since $d > 0$ and $f_{xx} < 0$ at $(-1, -1)$, function f has a local maximum at $CP(-1, -1)$. That local maximum is:

$$f(-1, -1) \\ 10 \quad (20)$$